



PATENT: 06061 USA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1711  
RECEIVED  
AUG 21 2001  
TTC 1700

IN RE APPLI-  
CATION OF : Jeffrey Kramer  
SERIAL NO. : 09/633,664 : GRP. ART UNIT 1711  
FILED : 8/7/00 : EXAMINER: J. Cooney  
FOR : CATALYSTS WHICH STABILIZE HYDROFLUOROCARBON  
BLOWING AGENTS IN POLYURETHANE FOAM COMPOSITIONS

Assistant Commissioner for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING	
I CERTIFY THAT THIS PAPER (ALONG WITH ANY PAPER REFERRED TO AS BEING ATTACHED OR ENCLOSED) IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE WITH SUFFICIENT POSTAGE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO:	
ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, DC 20231	
ON	15 Aug 2001
	Date
	Michael Leach
	(Type or print name of person mailing paper)
	Michael Leach
	Signature of person mailing paper

Sir:

LETTER A

This letter is to confirm the phonecall to Examiner Cooney on 3 April 2001 that a "Request for Statutory Invention Registration" (37 CFR §1.293) was mailed 4 January 2001 and received by the USPTO on 8 January 2001. Therefore, an action on the merits was not expected and will not be responded to.

Respectfully submitted,

Michael Leach  
Attorney of Record  
Registration No. 27,349  
Air Products and Chemicals, Inc.  
7201 Hamilton Boulevard  
Allentown, PA 18195-1501  
(610) 481-8519



RECEIVED 19-21

APR 15 2002

TC 1700

Practitioner's Docket No. 06061 USA

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Jeffrey Kramer

For: Catalysts which Stabilize Hydrofluorocarbon Blowing Agents in  
the specification of which: Polyurethane Foam Compositions

(check and complete (a) or (b))

- (a) ☐ is attached hereto.
- (b) ☒ was filed on 8/7/00, as Application No. 09/633,664, and was amended on -- (if applicable).

REQUEST FOR STATUTORY INVENTION REGISTRATION  
(37 C.F.R. § 1.293)

A. In the above-identified patent application, I hereby:

- request and authorize the Commissioner of Patents and Trademarks to publish the above-identified regularly filed patent application as a Statutory Invention Registration. (35 U.S.C. § 157)
- waive the right to receive a United States patent on the same invention claimed in the above identified patent application. These rights, which are waived, include those specified in 35 U.S.C. §§ 183 and 271 through 289, as well as all attributes specified for patents in any other provision of law other than title 35 United States Code. The waiver includes, but is not limited to, the remedies under 19 U.S.C. §§ 1337 and 1337a, 22 U.S.C. § 2356 and 28 U.S.C. § 1498. (35 U.S.C. § 157(c))

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\*  
(When using Express Mail, the Express Mail label number is mandatory;  
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

## MAILING

- ☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231  
37 C.F.R. § 1.8(a)
- ☒ with sufficient postage as first class mail. ☐ as "Express Mail Post Office to Addressee" Mailing Label No. (mandatory).

## TRANSMISSION

- ☐ transmitted by facsimile to the Patent and Trademark Office.

Signature

Michael Leach

(type or print name of person certifying)

Date: 4 January 2001

**\*WARNING:** Each paper or fee filed by Express Mail must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).  
"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

3. understand that the above waiver will be effective pursuant to 37 C.F.R. § 1.293 upon publication of the Statutory Invention Registration to waive the inventor's right to receive a United States patent on the invention claimed in the Statutory Invention Registration. (37 C.F.R. § 1.293(b)(1))
4. state that, in my opinion, the disclosure and claims of the above-identified patent application meet the requirements of 35 U.S.C. § 112. (37 C.F.R. § 1.293(b)(3))
5. state that, in my opinion, the above-identified application complies with the requirements for printing, as set forth in the Rules of Practice for Patent Cases. (37 C.F.R. § 1.293(b)(4))
6. enclose the fee set forth in 37 C.F.R. § 1.17(n) or (o), for requesting publication of a Statutory Invention Registration.
  - ☒ A first examiner's action has not been mailed in the above application. (37 C.F.R. § 1.17(n))—\$920.00 or
  - ☐ A first examiner's action has been mailed in the above application. (37 C.F.R. § 1.17(o))—\$1,840.00

\$ 920.00

NOTE: Determine actual basic filing fee paid.

Minus basic filing fee, if  
previously paid

	Small entity	Large entity
Basic filing fee for utility patent application set forth in 37 C.F.R. § 1.16(a) . . . . .	<input type="checkbox"/> \$355.00	<input checked="" type="checkbox"/> \$690.00 <del>\$1,380.00</del>
Basic filing fee for design patent application set forth in 37 C.F.R. § 1.16(f) . . . . .	<input type="checkbox"/> \$160.00	<input type="checkbox"/> \$320.00
Basic filing fee for plant patent application set forth in 37 C.F.R. § 1.16(g) . . . . .	<input type="checkbox"/> \$245.00	<input type="checkbox"/> \$490.00

Minus: \$ 690.00

**AMOUNT DUE \$ 230.00**

(Request for Statutory Invention Registration [19-5]—page 2 of 4)

## A small entity statement:

- ☐ is submitted herewith.  
☐ has been filed on \_\_\_\_\_  
☐ Attached is a ☐ check ☐ money order in the amount of \$ \_\_\_\_\_  
☒ Authorization is hereby made to charge the amount of \$ 230.00  
☒ to Deposit Account No. 01-0493  
☐ to Credit card as shown on the attached credit card information authorization form PTO-2038.

**WARNING:** Credit card information should *not* be included on this form as it may become public.

- ☒ Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

- B. For printing on the Statutory Invention Registration front page, list below the name(s) of not more than 3 registered patent attorneys or agents OR alternatively, the name of the firm having as a member a registered patent attorney or agent. If no name is listed below, no name will be printed.

Michael Leach, Reg. No. 27,349

- C. Name of assignee, if any, for printing on the Statutory Invention Registration

Air Products and Chemicals, Inc.

Address (City and State or Country)

7201 Hamilton Boulevard, Allentown, PA 18195-1501

State of incorporation, if assignee is a corporation

Delaware

**NOTE:** Any Statutory Invention Registration "must be signed by (1) the applicant and any assignee of record or (2) an attorney or agent of record in the application." 37 C.F.R. § 1.293(a). (emphasis added).

Signature(s) of  
applicant(s)  
and Assignee(s)

(type name(s) of inventor(s) signing)

Signatures of inventors

(type name and title of person signing for assignee)

Signature

  
OR  
SIGNATURE OF PRACTITIONER OF RECORD

Michael Leach

(print name of Practitioner)

Air Products and Chemicals, Inc.  
7201 Hamilton Boulevard

P.O. Address

Allentown, PA 18195-1501

Reg. No.: 27,349

Tel. No. ( 610 ) 481-8519

Customer No.:



**23543**

Air Products and Chemicals, Inc.

(Request for Statutory Invention Registration [19-5]—page 4 of 4)